07-25-01

PTO/SB/05 (11-00) d for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION **TRANSMITTAL**

Please type a plus sign (+) inside this box

Attorney Docket No. MTI-31470 First Inventor Lingyi A. Zheng Improved Cell Nitride Nucleation on Insulative Lav

and Reduced Corner Leakage of Container Capacit

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Express Mail Label No. EL810084775US

APPLICA	TION ELEMENTS	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application					
See MPEP chapter 600 cond	cerning utility patent application contents.	Washington, DC 20231					
Fee Transmittal F	orm (e.g., PTO/SB/17) - Z pages duplicate for fee processing)	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)					
2. Applicant claims s See 37 CFR 1.27		8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)					
3. X Specification (preferred arrangement)	[Total Pages [28]]	a. Computer Readable Form (CRF)					
- Descriptive title	of the invention e to Related Applications	b. Specification Sequence Listing on:					
- Statement Reg	arding Fed sponsored R & D	i. CD-ROM or CD-R (2 copies); or					
10 pa≼. ≺ or a computer p	equence listing, a table, program listing appendix	ii paper					
- Background of - Brief Summary		c. Statements verifying identity of above copies					
- Brief Description	n of the Drawings (if filed)	ACCOMPANYING APPLICATION PARTS					
L Detailed Descr	ption	9. X Assignment Papers (cover sheet & document(s))					
pq Abstract of the	Disclosure	10. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney					
4. X Drawing(s) (35 U	I.S.C. 113) [Total Sheets 3	11. English Translation Document (if applicable)					
5. Oath or Declaration	[Total Pages 3]	12. Information Disclosure Copies of IDS Citations					
a. • X Newly execu	uted (original or copy)	13. Preliminary Amendment					
b. Copy from a	prior application (37 CFR 1.63 (d)) tion/divisional with Box 18 completed)	14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
	ION OF INVENTOR(S) Interment attached deleting inventor(s)	15. Certified Copy of Priority Document(s) (if foreign priority is claimed)					
named in	the prior application, see 37 CFR and 1.33(b).	16. Request and Certification-under 35-U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.					
6. X Application Data	Sheet. See 37 CFR 1.76	17. X Other: Power of Attorney by Assigned					
		the requisite information below and in a preliminary amendment,					
or in an Application Data She	Divisional Continuation-in-part (CIP)	of prior application No.:/					
Prior application information:	Examiner	Group Art Unit:					
• •	· · · · · · · · · · · · · · · · · · ·	prior application, from which an oath or declaration is supplied under					
		ion or divisional application and is hereby incorporated by reference. ntly omitted from the submitted application parts.					
	19. CORRESPONDEN	CEADDRESS					
X Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or Correspondence address below							
Name							
Address							
City	s	itate Zip Code					
Country	Telep	hone Fax					
Name (Print/Type) Kristine M. Strodthoff Registration No. (Attorney/Agent, 34259							
Signature	Kristini. M St. 00 Harks	Date July 24 2001					

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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

1000	000	$\Delta \Delta$
(\$)3.	.902.	.UU

Complete if Known				
Application Number				
Filing Date				
First Named Inventor	Lingyi A. Zheng			
Examiner Name				
Group / Art Unit				
Attorney Docket No.	MTI-31470			

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)						
1. The Commissioner is hereby authorized to charge		3. ADDITIONAL FEES						
materior lees and sicult any overpayments to.	Large Fee	Large Entity Enal Entity						
Deposit Account Number		e (\$)		e (\$)	Fee Description	Fee Paid		
Number 25 2035		130	205	65	Surcharge - late filing fee or oath	0.00		
Deposit Laboral Bires do board Ondak	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	0.00		
Account Name Whyk Hirschbouck Ouder	139	130	139	130	Non-English specification	0.00		
Charge Any Additional Fee Required		2,520		130 2,520	For filing a request for reexamination	0.00		
Under 37 CFR §§ 1.16 and 1.17	112	2,520 920*		2,520 920*	Requesting publication of SIR prior to			
2. X Payment Enclosed:					Examiner action	0.00		
Check Money Other	113	1,840	113	1,8401	 Requesting publication of SIR after Examiner action 	0.00		
FEE CALCULATION	115	110	215	55	Extension for reply within first month	0.00		
	116	380	216	190	Extension for reply within second month	0.00		
1. BASIC FILING FEE Large Entity Small Entity	117	870	217	435	Extension for reply within third month	0.00		
Fee Fee Fee Fee Description	118	1,360	218	680	Extension for reply within fourth month	0.00		
Code (\$) Code (\$) Fee Paid 101 690 201 345 Utility filing fee [710.00]	128	1,850	228	925	Extension for reply within fifth month	0.00		
/10.00	119	300	219	150	Notice of Appeal	0.00		
106 310 206 155 Design filing fee 107 480 207 240 Plant filing fee	120	300	220	150	Filing a brief in support of an appeal	0.00		
107 480 207 240 Plant filing fee 108 690 208 345 Reissue filing fee	121	260	221	130	Request for oral hearing	0.00		
114 150 214 75 Provisional filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	0.00		
	140	110	240	55	Petition to revive - unavoidable	0.00		
SUBTOTAL (1) (\$) 710.00	141	1,210	241	605	Petition to revive - unintentional	0.00		
2. EXTRA CLAIM FEES	142	1,210	242	605	Utility issue fee (or reissue)	0.00		
Fee from Ext <u>ra Claims below Fee Paid</u>	143	430	243	215	Design issue fee	0.00		
Total Claims 124 -20** = 104 X 18 = 1872	144	580	244	290	Plant issue fee	0.00		
Independent 19 - 3** = 16 x 80 = 1280	122	130	122	130	Petitions to the Commissioner	0.00		
Multiple Dependent = 0	123	50	123	50	Petitions related to provisional applications	0.00		
**or number previously paid, if greater; For Reissues, see below	126	240	126	240	Submission of Information Disclosure Stmt			
Large Entity Small Entity Fee Fee Fee Fee Fee Description	581	40	581	40	Recording each patent assignment per	0.00		
Code (\$) Code (\$)			0.40	245	property (times number of properties)	40.00		
103 18 203 9 Claims in excess of 20	146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00		
102 78 202 39 Independent claims in excess of 3	149	690	249	345	For each additional invention to be			
104 260 204 130 Multiple dependent claim, if not paid 109 78 209 39 ** Reissue independent claims					examined (37 CFR § 1.129(b))	0.00		
109 78 209 39 ** Reissue independent claims over original patent	Other	fee (sp	pecify)			0.00		
110 18 210 9 ** Reissue claims in excess of 20	Othor	* (e)	ifu)					
and over original patent		fee (sp	pecity,			0.00		
SUBTOTAL (2) (\$) 3,152.00	Redu	ced by	Basic	Filing (Fee Paid SUBTOTAL (3) (\$) 4(0.00		
Decidation No.								
Name (Print/Type) Kristine M. Strodthoff (Attorney/Agent) 34259 Telephone 414-273-2100								

Signature Kristinicin Date

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AUTHORIZATION TO CHARGE ADDITIONAL FEES

The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 23-2053.

- 37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)
- 37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims) 37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
 - 37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))
 - 37 C.F.R. Section 1.17 (application processing fees)